

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

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In re : Chapter 11 Case No.  
LEHMAN BROTHERS HOLDINGS INC., *et al.*, : 08-13555 (JMP)  
Debtors. : (Jointly Administered)  
: Ref. Docket Nos. 36904, 36905,  
-----x 37793, 37802, 37805, 38005, 38007,  
38013, 38016, 38021, 38022, 38041,  
38090

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NEW YORK )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On June 27, 2013, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez

Lauren Rodriguez

Sworn to before me this  
2<sup>nd</sup> day of July, 2013

/s/ Cassandra Murray

Notary Public, State of New York  
No. 01MU6220179  
Qualified in Queens County  
Commission Expires April 12, 2014

## EXHIBIT A

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: ALSTON INVESTMENTS LLC  
TRANSFEROR: ELLIOTT ASSOCIATES, L.P.  
C/O ELLIOTT MANAGEMENT CORPORATION  
ATTN: ELLIOT GREENBERG / RAJAT BOSE  
712 FIFTH AVENUE, 35TH FLOOR  
NEW YORK NY 10019

Please note that your claim # 62743-85 in the above referenced case and in the amount of  
\$674,707.89 allowed at \$875,586.89 has been transferred (unless previously expunged by court order)

BARCLAYS BANK PLC  
TRANSFEROR: ALSTON INVESTMENTS LLC  
ATTN: DANIEL MIRANDA  
745 SEVENTH AVENUE, 2ND FLOOR  
NEW YORK NY 10019

BARCLAYS BANK PLC  
ANTHONY VITIELLO  
BARCLAYS BANK PLC  
745 SEVENTH AVENUE, 2ND FLOOR  
NEW YORK NY 10019

NO action is required if you do not object to the transfer of your claim. However, IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 38021 in your objection. If you file an objection, a hearing will be scheduled. IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFeree WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.

Date: 06/27/2013

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on June 27, 2013.

## EXHIBIT B

Address

Name ALSTON INVESTMENTS LLC  
ASHTON INVESTMENTS, LLC  
BANCA MONTE DEI PASCHI DI SIENA S.p.A.  
BANCA POPOLARE DI MILANO S.C.A.R.L.  
BANCA POPOLARE DI MILANO S.C.A.R.L.  
BARCLAYS BANK PLC  
BENNER KANTONALBANK AG  
BSI SA  
CREDIT SUISSE  
CREDIT SUISSE  
CRS MASTER FUND, L.P.  
CRS MASTER FUND, L.P.  
CYRUS EUROPE MASTER FUND, LTD.  
CYRUS OPPORTUNITIES MASTER FUND II, LTD.  
CYRUS SELECT OPPORTUNITIES MASTER FUND, LTD.  
ELLIOTT INTERNATIONAL, L.P.  
FIR TREE CAPITAL OPPORTUNITY MASTER FUND, L.P.  
FIR TREE VALUE MASTER FUND, L.P.  
FIR TREE VALUE MASTER FUND, L.P.  
GOLDMAN SACHS & CO.  
UBS AG  
UBS AG  
TRANSFEROR: ELLIOTT ASSOCIATES, L.P. C/O ELLIOTT MANAGEMENT CORPORATION ATTN: MICHAEL STEPHAN 40 WEST 57TH STREET NEW YORK NY 10019  
TRANSFEROR: ELLIOTT ASSOCIATES, L.P. C/O ELLIOTT MANAGEMENT CORPORATION ATTN: MICHAEL STEPHAN 40 WEST 57TH STREET NEW YORK NY 10019  
ATTN: GIANLUCA SERRA PIAZZA SALIMENTI, 3 SIENA 53100 ITALY  
TRANSFEROR: BANCA MONTE DEI PASCHI DI SIENA S.p.A. GIANFRANCO VENUTI, MANAGER PIAZZA MEDA N. 4 MILANO 2012 ITALY  
TRANSFEROR: BANCA MONTE DEI PASCHI DI SIENA S.p.A. GIANFRANCO VENUTI, MANAGER PIAZZA MEDA N. 4 MILANO 2012 ITALY  
TRANSFEROR: ANTHONY VITIELLO BARCLAYS BANK PLC 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019  
TRANSFEROR: ALSTON INVESTMENTS LLC ATTN: DANIEL MIRANDA 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019  
TRANSFEROR: ASHTON INVESTMENTS, LLC ATTN: DANIEL MIRANDA 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019  
TRANSFEROR: ELLIOTT INTERNATIONAL, L.P. ATTN: DANIEL MIRANDA 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019  
TRANSFEROR: FIR TREE CAPITAL OPPORTUNITY MASTER FUND, L.P. ATTN: DANIEL MIRANDA 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019  
TRANSFEROR: FIR TREE VALUE MASTER FUND, L.P. ATTN: DANIEL MIRANDA 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019  
TRANSFEROR: VARDE FUND VI-A LP, THE ATTN: DANIEL MIRANDA, ANTHONY VITIELLO 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019  
TRANSFEROR: VARDE FUND X (MASTER) LP, THE ATTN: DANIEL MIRANDA, ANTHONY VITIELLO 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019  
TRANSFEROR: UBS AG ATTN: SAMUEL STUCKI POSTFACH BERN CH 3001  
TRANSFEROR: CREDIT SUISSE ATTN: MR. ANDREA FERRARI - CUSTODY ADMINISTRATION VIA MAGATTI 2 LUGANO 6900 SWITZERLAND  
ATTN: ALLEN GAGE 1 MADISON AVE NEW YORK NY 10010  
RICHARD LEVIN, ESQ. CRAVATH, SWAINE & MOORE LLP ATTN: RICHARD LEVIN WORLDWIDE PLAZA 825 EIGHTH AVENUE NEW YORK NY 10019  
TRANSFEROR: BARCLAYS BANK PLC ATTN: SVET NIKOV 399 PARK AVENUE, 39TH FLOOR NEW YORK NY 10022  
TRANSFEROR: GOLDMAN SACHS & CO. ATTN: SVET NIKOV 399 PARK AVENUE, 39TH FLOOR NEW YORK NY 10022  
TRANSFEROR: BARCLAYS BANK PLC ATTN: SVET NIKOV 399 PARK AVENUE, 39TH FLOOR NEW YORK NY 10022  
TRANSFEROR: GOLDMAN SACHS & CO. ATTN: SVET NIKOV 399 PARK AVENUE, 39TH FLOOR NEW YORK NY 10022  
TRANSFEROR: GOLDMAN SACHS & CO. ATTN: SVET NIKOV 399 PARK AVENUE, 39TH FLOOR NEW YORK NY 10022  
TRANSFEROR: ELLIOTT ASSOCIATES, L.P. C/O ELLIOTT MANAGEMENT CORP - MICHAEL STEPHAN 40 WEST 57TH STREET NEW YORK NY 10019  
TRANSFEROR: RBS SECURITIES INC. C/O FIR TREE PARTNERS ATTN: BRIAN MEYER 505 FIFTH AVE, 23RD FLOOR NEW YORK NY 10017  
ATTN: DAN C. KOZUSKO, ESQ. (COUNSEL TO FIR TREE VALUE MASTER FUND, L.P. AND  
FIR TREE CAPITAL OPPORTUNITY MASTER FUND, L.P.) 787 SEVENTH AVENUE NEW YORK NY 10019-0099  
TRANSFEROR: RBS SECURITIES INC. C/O FIR TREE PARTNERS ATTN: BRIAN MEYER 505 FIFTH AVE, 23RD FLOOR NEW YORK NY 10017  
TRANSFEROR: ALSTON INVESTMENTS LLC ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302  
TRANSFEROR: ASHTON INVESTMENTS, LLC ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302  
TRANSFEROR: BBVA (SUIZA) S.A. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302  
TRANSFEROR: BBVA (SUIZA) S.A. ATTN: RICK CANONICO 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302  
TRANSFEROR: ELLIOTT ASSOCIATES, L.P. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302  
TRANSFEROR: ELLIOTT INTERNATIONAL, L.P. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302  
ATTN: HUGO KOLLER ZURICH 8001 SWITZERLAND  
ATTN: BAHNHOFSTR. 45 ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302